

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Werner Barton et al  
Title: Method for Operating a Frequency Converter of a Generator and Wind Energy Turbine  
Having a Generator Operated According to the Method  
Serial No.: filed concurrently  
Art Unit: n/a  
Filing Date: filed concurrently  
Examiner: n/a  
Docket No.: 46WD138884  
Date: September 11, 2006

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- ☒ 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document (other than U.S. patents and U.S. patent application publications unless required by the Office) and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- ☒ 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

- ☐ 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

☐ a. The required Certification made in item 5 below; or

☐ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

- ☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

a) The required Certification is stated in item 5 below; and

b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

☐ 5. Certification

- ☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

☒ 6. Payment of all applicable fees:

- ☒ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 090470.
- ☐ Enclosed is a check in the amount of \$\_\_\_\_\_ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully submitted,

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Patent Counsel

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PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	Not available
Filing Date	September 11, 2006
First Named Inventor	Werner Barton et al
Art Unit	Not available
Examiner Name	Not available
Attorney Docket Number	46WD138884

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**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
/IM/		US- 5798631A	08-25-1998	Spee et al	
/IM/		US- 5225712A	07-06-1993	Erdman	
/IM/		US- 6134124A	10-17-2000	Jungreis et al	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
/IM/		WO 02/103879	12-27-2002	Abb Ab		
/IM/		FR2823381A	10-11-2002	Electricite De France		

Examiner Signature	/iraj Mohandes/ (02/13/2009)	Date Considered	02/13/2009
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
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/IM/	HOFFMANN W: "Optimal reactive power splitting in wind power plants controlled by double-fed induction generator" Africon, 1999 IEEE CAPE TOWN, South Africa 28 Sept.-1 Oct. 1999, Piscataway, NJ, USA, IEEE, US.
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<b>Examiner Signature</b>	/Iraj Mohandesi/ (02/13/2009)	<b>Date Considered</b>	02/13/2009
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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